

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission		11	Application Number	09/881,823
			Filing Date	June 15, 2001
			First Named Inventor	Wenyuan Shi, et al.
			Group Art Unit	1645
			Examiner Name	Unknown
			Attorney Docket Number	2101363-991100

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☒ Request for Correction of the Official Filing Receipt
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

1. Red-lined copy of OFR;
2. Copy of prelim. amd. Filed 10-3-01; copy of date-stamped postcard; and express mail certificate; and
3. return postcard

Remarks

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The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 07-1896. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GRAY CARY WARE & FRIEDENRICH LLP

Dated:

7/10/02

By:

Nan Wu
Reg. No. 43,360
Attorneys for Applicant(s)
153 Townsend Street, Suite 800
San Francisco, CA 94107-1922
(415) 836-2500

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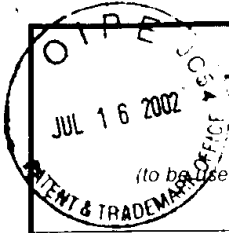
Typed or printed name Ta-Tanisha L. Moore

Signature

Ta-Tanisha L. Moore

Date

July 10, 2002

 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/881,823	
	Filing Date	June 15, 2001	
	First Named Inventor	Wenyuan Shi, et al.	
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Total Number of Pages in This Submission	11	Attorney Docket Number	2101363-991100

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input checked="" type="checkbox"/> Request for Correction of the Official Filing Receipt <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Red-lined copy of OFR; 2. Copy of prelim. amd. Filed 10-3-01; copy of date-stamped postcard; and express mail certificate; and 3. return postcard
Remarks: _____		

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Respectfully submitted,

GRAY CARY WARE & FREDENRICH LLP

Dated: 7/10/02By: Nan Wu

Nan Wu
 Reg. No. 43,360
 Attorneys for Applicant(s)
 153 Townsend Street, Suite 800
 San Francisco, CA 94107-1922
 (415) 836-2500

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Typed or printed name Ta-Tanisha L. Moore

Signature Ta-Tanisha L. Moore

Date

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Attorney Docket No. 2101363-991100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wenyuan Shi, et al.

Serial No.: 09/881,823

Group Art Unit: 1645

Filed: June 15, 2001

Examiner: Unknown

Title: DNA MOLECULES AND RECOMBINANT DNA MOLECULES FOR
PRODUCING HUMANIZED MONOCLONAL ANTIBODIES TO *S. MUTANS*

* * *

REQUEST TO CORRECT FILING RECEIPT

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Attn.: Office of Initial Patent Examination's
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Washington, DC 20231

Sir:

Attorney for Applicants hereby requests a correction of the Official Filing Receipt in U.S.

Patent Application No. 09/881,823 identified above. The current filing receipt lists the title as:

"Method for the treatment and prevention of dental caries." On October 3, 2001, a preliminary amendment was filed requesting that the title be changed to: **"DNA Molecules and**

Recombinant DNA Molecules for Producing Humanized Monoclonal Antibodies to *S.*

Mutans." A marked-up copy of the filing receipt with the indicated change noted in red is

enclosed. Additionally, copies of the preliminary amendment along with the supporting

documents (return acknowledgement date-stamped postcard, certificate of mailing and express

mail certificate) evidencing that the preliminary amendment was filed on October 3, 2001 and

received by the U.S. Patent and Trademark Office are enclosed for reference purposes.

Attorney for Applicants respectfully requests that this error be corrected, and that a
corrected filing receipt be issued at an early date.

Please forward the *corrected* filing receipt to the following:

Nan Wu
Gray Cary Ware & Freidenrich LLP
153 Townsend Street, Suite 800
San Francisco, CA 94107-1922

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Respectfully Submitted,

GRAY CARY WARE & FREIDENRICH LLP

Dated: 7/10/02

By: 

Nan Wu
Reg. No. 43,360

Attorneys for Applicants

Gray Cary Ware & Freidenrich LLP
153 Townsend Street, Suite 800
San Francisco, CA 94107-1922
Telephone: (415) 836-2500



UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 8957

SERIAL NUMBER 09/881,823	FILING DATE 06/15/2001 RULE	CLASS 424	GROUP ART UNIT 1645	ATTORNEY DOCKET NO. 22851-032
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APPLICANTS

Wenyuan Shi, Los Angeles, CA;
Sherie L. Morrison, Los Angeles, CA;
Kham Trinh, Alhambra, CA;
Letitia Wims, Culver City, CA;
Li Chen, Los Angeles, CA;
Maxwell H. Anderson, Seattle, WA;

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** CONTINUING DATA *****

THIS APPLICATION IS A CIP OF 09/378,577 08/20/1999

** FOREIGN APPLICATIONS *****

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

** 10/10/2001

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 6	TOTAL CLAIMS 24	INDEPENDENT CLAIMS 2
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

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TITLE

DNA molecules and recombinant DNA molecules for producing humanized monoclonal antibodies to S. mutans

FILING FEE RECEIVED 782	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
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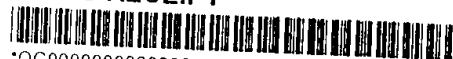
APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/881,823	06/15/2001	1645	782	22851-032	6	24	2

David J. Meyer
11355 W Olympic Blvd
Los Angeles, CA 90064

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CONFIRMATION NO. 8957

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OC000000006886088

Date Mailed: 10/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Wenyuan Shi, Los Angeles, CA;
Sherie L. Morrison, Los Angeles, CA;
Kham Trinh, Alhambra, CA;
Letitia Wims, Culver City, CA;
Li Chen, Los Angeles, CA;
Maxwell H. Anderson, Seattle, WA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/378,577 08/20/1999 ABN

Foreign Applications

If Required, Foreign Filing License Granted 10/10/2001

Projected Publication Date: 01/17/2002

Non-Publication Request: No

Early Publication Request: No

Title

DNA MOLECULES AND RECOMBINANT DNA MOLECULES FOR PRODUCING
HUMANIZED MONOCLONAL ANTIBODIES TO S. MUTANS
~~Method for the treatment and prevention of dental caries~~

Preliminary Class

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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PATENT
22851.032

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:
WENUAN SHI, and MAXWELL ANDERSON

Group Art Unit: 3732

Examiner: To Be Assigned

Serial No. 09/910358

Filed: July 16, 2001

For: CHIMERIC MONOCLONAL
ANTIBODIES SPECIFIC TO S.
MUTANS

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CERTIFICATE OF MAILING

Assistant Commissioner for Patents
Washington D.C. 20231

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OCT 3 2001

(Date of Deposit)

Axel O. Perez

(Name of Person Mailing Paper or Fee)

Axel O. Perez

(Signature)



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TITLE Chimeric Monoclonal Antibodies Specific To S. Mutans

S/N 09/881,823

FILING DATE July 19, 2001

APPLICANT Wenyuan Shi

FILE NO. 22851-032

MAIL DATE October 3, 2001

EXPRESS MAIL ABEL EL 922800104US

Receipt in the USPTO is hereby acknowledged of:

- | | |
|---|--|
| <input type="checkbox"/> Patent Application | <input type="checkbox"/> Response to Office Action |
| <input type="checkbox"/> Declaration and POA | <input type="checkbox"/> Request for Extension |
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